

**MINUTES OF THE 147<sup>TH</sup> MEETING OF THE  
ARKANSAS SCIENCE & TECHNOLOGY AUTHORITY  
BOARD OF DIRECTORS  
LITTLE ROCK, AR  
NOVEMBER 19, 2010**

**CALL TO ORDER**

The meeting was called to order by Mr. Heartsill Ragon III, Chairman of the Board, in the Bessie Moore Board Room, located in the 900 West Capitol Building in Little Rock.

A quorum of Board members was present and included: Mr. Bradford Caldwell, Mr. Gary Campbell (via phone), Dr. Cesar Compadre, Dr. Carl Frederickson, Dr. Collis Geren, Mr. Lawrence Graves, Dr. Beverly Dawkins Lyn-Cook, Mr. Paul Mastro, and Mr. Dennis Teague.

Staff members present: Ms. Melissa Adams, Dr. John Ahlen, Mr. Dan Curtis, Ms. Beren Camp, Ms. Stephanie Johnson, Ms. Cathy Ma, Ms. Annetta Massanelli, Dr. Gail McClure, Mr. Chuck Myers, Dr. Blake Perry, Mr. Chris Snider and Dr. Steve Stanley.

**APPROVAL OF MINUTES**

Mr. Ragon presented for approval the minutes from the September 17, 2010 Board meeting. The motion was seconded by Dr. Lyn-Cook; the Board gave its unanimous approval.

**PRESIDENT'S REPORT**

Dr. Ahlen introduced new staff member, Charley Appleby. Mr. Appleby is the Manager of Client Services for Arkansas Manufacturing Solutions.

Dr. Ahlen reported that the Authority had its legislative budget hearing in October and it went very well. The agency received a good recommendation from the Governor's Office.

**Transition**

Dr. Ahlen reviewed the future succession/transition plans with the Board. Dr. Ahlen stated that the staff had been briefed on plans. The Authority's Executive Vice President plans to retire in August of 2011. To prepare for his retirement, the agency plans to request a pool position from

the Office of Personnel Management, which will allow the Authority to hire the replacement and begin training that person before the current Executive Vice President retires. An executive team has been formed to help with the transition. The team consists of the VP Research, Fiscal Officer and Business Controller, along with other members of the senior staff. The President and Executive Vice President will serve as observers and advisors. Dr. Ahlen stated that the staff would look for input from the Board when the time came for his retirement.

### **FY2011 Operating Report**

Dr. Blake Perry presented the FY2011 Operating Report for review. Mr. Graves moved acceptance of the report as presented. Mr. Mastro seconded the motion; the Board gave its unanimous approval.

### **FY2011 Investment Update**

Dr. Blake Perry presented the FY2011 Investment Update. Mr. Mastro moved for acceptance of the report as presented. Mr. Graves seconded the motion; the Board gave its unanimous approval.

### **INDUSTRY COMMITTEE REPORT**

Mr. Mastro presented Industry Committee report.

The Industry Committee met on October 27<sup>th</sup> and discussed the following items.

AMS was included in four programs that were awarded additional funding from the National Institute of Standards and Technology (NIST). AMS is working to define the next steps for the funding.

The NIST annual review of AMS was completed and there were no issues identified.

The AMS staff attended a three day seminar on solution selling and will be implementing what they learned soon.

The first Expotech workshop was completed on October 13. Four companies presented plans to a panel of experts.

The Innovation Engineering Institute was held on November 15-17. There were 116 attendees from 16 states.

AMS is working to fill one vacancy on the Industry Advisory Committee.

### **RESEARCH & COMMERCIALIZATION COMMITTEE**

Mr. Heartsill Ragon presented the Research and Commercialization Committee Report.

### **TTAG Activity Report**

Mr. Ragon presented the TTAG Quarterly Activity Report. Dr. Lyn-Cook moved acceptance of the TTAG Activity Report. Dr. Geren seconded the motion; the Board gave its unanimous approval.

### **SCIP Quarterly Report**

Mr. Ragon presented the Seed Capital Quarterly Report. Mr. Teague moved acceptance of the SCIP Quarterly Report. Dr. Lyn-Cook seconded the motion; the Board gave its unanimous approval.

### **Draft Resolution 11-09**

Draft Resolution 11-09 would provide the certification of the Genesis Technology Incubator, the Innovation Center and the Enterprise Center as a qualified technology incubator. The Authority received a letter of confirmation from the University of Arkansas confirming that the incubator is operating in cooperation with the university and the mission of the incubator is to foster the growth of technology based enterprises.

Mr. Mastro made a motion for the approval of Draft Resolution 11-09. Mr. Graves seconded the motion. Dr. Geren recused himself. The motion passed.

### **Draft Resolution 11-10**

Draft Resolution 11-10 would provide the certification of UAMS BioVentures. The Authority received a letter of confirmation from the University of Arkansas for Medical Sciences confirming that the incubator is operating in cooperation with the university and the mission of the incubator is to foster the growth of technology based enterprises.

Mr. Graves made a motion for the approval of Draft Resolution 11-10. Mr. Mastro seconded the motion. Dr. Compadre recused himself. The motion passed.

### **Draft Resolution 11-11**

Draft Resolution 11-11 would provide research matching funds for the following project:

Proposal No. 11-ARMF-01, "Louis Stokes Alliances for Minority Participation (LSAMP) Program," by Dr. Mary E. Benjamin, of the University of Arkansas at Pine Bluff in an amount not to exceed \$70,000. This project is funded federally by a five-year National Science Foundation grant in the amount of \$3,500,000.00.

Dr. Lyn-Cook made a motion for the approval of Draft Resolution 11-11. Mr. Mastro seconded the motion. Dr. Geren recused himself. The motion passed.

## **SPONSORED PROJECTS REPORT**

Dr. Collis Geren presented the Sponsored Projects Report.

### **Draft Resolution 11-12**

Draft Resolution 11-12 would provide funding for sub agreements with five universities in support of the NSF EPSCoR ASSET II Project.

University of Arkansas, Fayetteville (Project No. 11-EPS2-0001) in support of personnel salaries/benefits, equipment, direct and indirect cost for the P3 Project, GREEN Project and the VICTER Project, in the amount of \$1,885,643.

University of Arkansas at Little Rock (Project No. 11-EPS2-0002) in support of personnel salaries/benefits, equipment, direct and indirect cost for the P3 Project, GREEN Project and the VICTER Project, in the amount of \$1,071,250.

Arkansas State University (Project No. 11-EPS2-0003) in support of personnel salaries/benefits, equipment, direct and indirect cost for the P3 Project and the VICTER Project, in the amount of \$782,280.

University of Arkansas at Pine Bluff (Project No. 11-EPS2-0004) in support of personnel salaries/benefits, equipment, direct and indirect cost for the P3 Project and the VICTER Project, in the amount of \$205,111.

University of Central Arkansas (Project No. 11-EPS2-0005) in support of personnel salaries/benefits, equipment, direct and indirect cost for the P3 Project, in the amount of \$55,716.

Dr. Lyn-Cook made a motion for the approval of Draft Resolution 11-12. Mr. Mastro seconded the motion; the Board gave its unanimous approval.

## **NEW BUSINESS**

### **Draft Resolution 11-14**

Draft Resolution 11-14 requests funding for the following proposal:

11-EPSCoR-0006, "MBA Internship Award", submitted by the University of Arkansas at Little Rock, in an amount not to exceed \$8,137.95 for the period of December 20, 2010 through May 20, 2011.

Mr. Mastro made a motion for the approval of Draft Resolution 11-14. Mr. Graves seconded the motion; the Board gave its unanimous approval.

### **Draft Resolution 11-15**

Draft Resolution 11-15 would provide funding in an amount not to exceed \$30,000 for proposals approved for the Research Experience for the Undergraduate Fellowship Program.

Mr. Mastro made a motion for the approval of Draft Resolution 11-14. Mr. Graves seconded the motion; the Board gave its unanimous approval.

### **Technology Acceleration NAICS Code Project**

Arkansas Manufacturing Solutions is a sub recipient of a NIST MEP Cooperative Agreement through the University of Wyoming, Manufacturing Works. The goal of this program is to develop a portfolio of tools to help MEP centers engage manufacturers and make connections to help bring technologies to market, thus complimenting the USA and State Innovation Marketplaces.

The Authority will be a sub recipient under the Cooperative Agreement and its portion of the MEP grant is \$24,990 through the University of Wyoming, Manufacturing Works. There is no match requirement with this award.

The MEP funding is to cover travel expenses to develop and pilot the program. The program will run from October 1<sup>st</sup>, 2010 through September 30, 2011.

Dr. Geren made a motion for the approval of the request. Mr. Graves seconded the motion; the Board gave its unanimous approval.

### **Innovation Black Belt Program**

Arkansas Manufacturing Solutions (AMS) proposes to partner with the National Growth through Innovation Foundation to develop and deploy the Innovation Black Belt Program.

The proposal would create and demonstrate a methodology for deploying world class growth expertise within the MEP system through pilot projects with 10 MEP Centers located throughout the country.

Of the \$62,500 provided from MEP to fund this project the budget would be distributed as follows:

Approximately \$7,500 covering travel and related expenses for Professional Development for one Central Office person.

Approximately \$55,000 to field services to cover travel for Field Staff.

Dr. Geren moved approval of the proposal as presented. Mr. Graves seconded the motion; the Board gave its unanimous approval.

### Committee Assignments

Mr. Ragon made committee assignments for the year.

**Executive Committee:** Heartsill Ragon, Beverly Dawkins Lyn-Cook, Glen Jones and  
Committee Chairs.

**Sponsored Projects Committee:** Collis Geren (Chair), Cesar Compadre, Carl Frederickson, Glen Jones.

**Research & Commercialization Committee:** Gary Campbell (Chair), Bradford Caldwell, Beverly Dawkins Lyn-Cook, Joel Harrison, Jim Purcell, Heartsill Ragon.

**Industry Committee:** Paul Mastro (Chair), Robert Hall, Dennis Teague, George Williams.

### 2011 Meeting Dates

Board members were given the meeting dates for 2011: January 21, March 18, May 20, July 15, September 16, and November 18.

### ADJOURNMENT

With no further business to conduct, the meeting was adjourned.

Respectfully submitted,

---

Glen Jones, Jr., J.D.  
Secretary  
Board of Directors

**Approved** by the Board of Directors  
on this 21<sup>st</sup> day of January, 2011.

---

Glen Jones, Jr., J.D.  
Secretary  
Board of Directors